

# 786 INVESTMENTS LIMITED

## PROCUREMENT OF GOODS AND SERVICES POLICY

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### 1. PURPOSE

The purpose of this Procurement of Goods and Services Policy (the “**Policy**”) is to establish a transparent, fair, competitive, efficient, and accountable framework for the procurement of goods and services by **786 Investments Limited** (the “**Company**”).

As an Asset Management Company, the Company recognizes that procurement activities must be conducted with a high degree of integrity, confidentiality, transparency, cost consciousness, and regulatory discipline.

This Policy is intended to ensure that:

- goods and services are procured economically and efficiently;
  - procurement decisions are based on objective and documented criteria;
  - appropriate competition is obtained wherever practicable;
  - conflicts of interest are identified and appropriately managed;
  - vendors are properly evaluated and approved;
  - procurement expenditure is within approved budgets;
  - duties are appropriately segregated;
  - Company and client information is protected;
  - related-party transactions are appropriately identified and approved;
  - procurement activities comply with applicable laws and regulatory requirements; and
  - appropriate records and audit trails are maintained.
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### 2. SCOPE

This Policy applies to all procurement of goods and services undertaken by or on behalf of **786 Investments Limited**, including procurement relating to:

- office equipment and furniture;
- information technology hardware and software;
- IT infrastructure and cybersecurity services;
- software licenses and subscriptions;
- professional and consultancy services;
- legal and tax advisory services;

- audit and assurance services, where applicable;
- human resource and recruitment services;
- training and development;
- office rent, utilities, maintenance and facility services;
- security and other support services;
- printing and stationery;
- marketing and communication services;
- travel-related services;
- insurance;
- outsourced and third-party services;
- data, research and information services;
- telecommunications;
- business continuity and disaster recovery services; and
- any other goods or services required for the Company's operations.

This Policy shall apply to procurement funded from the Company's own resources or any other funds for which the Company has procurement responsibility.

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### **3. REGULATORY AND GOVERNANCE FRAMEWORK**

Procurement shall be undertaken in accordance with all applicable laws, regulations, rules, regulatory requirements, and internal policies applicable to the Company.

The Policy shall be read together with, where applicable:

- Companies Act, 2017;
- applicable SECP laws, rules, regulations, circulars and directives;
- applicable requirements governing Asset Management Companies and Collective Investment Schemes;
- applicable tax laws;
- applicable anti-money laundering and counter-terrorism financing requirements;
- applicable data protection, cybersecurity and confidentiality requirements;
- the Company's Articles of Association;
- Code of Conduct and Ethics Policy;
- Conflict of Interest Policy;
- Delegation of Authority Matrix;
- Information Security Policy;
- Risk Management Policy;
- Outsourcing Policy;
- Whistleblowing Policy; and
- any other relevant Company policy.

In case of conflict between this Policy and any applicable law or regulation, the law or regulation shall prevail.

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## **4. PROCUREMENT PRINCIPLES**

All procurement activities shall be governed by the following principles:

### **4.1 Value for Money**

The Company shall seek the best overall value considering price, quality, reliability, service, delivery, risk, security, and lifecycle cost.

The lowest price shall not necessarily constitute the best value.

### **4.2 Transparency**

Procurement decisions shall be properly documented and capable of being independently reviewed.

### **4.3 Fair Competition**

Where practicable, qualified vendors shall be given a fair opportunity to compete.

### **4.4 Integrity**

Employees involved in procurement shall act honestly, professionally, objectively, and in the best interests of the Company.

### **4.5 Segregation of Duties**

The requesting, approving, purchasing, receiving, and payment functions shall be appropriately segregated.

### **4.6 Conflict of Interest**

Actual, potential, or perceived conflicts of interest shall be disclosed and appropriately managed.

### **4.7 Confidentiality**

Confidential Company, investor, client, fund, investment, financial, personal, and proprietary information shall not be disclosed to vendors except where necessary and appropriately authorized.

## **4.8 Compliance**

Procurement shall comply with applicable regulatory, legal, tax, accounting, information security, and governance requirements.

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# **5. PROCUREMENT CATEGORIES**

Procurement shall generally be classified into the following categories:

## **5.1 Goods**

Physical items purchased by the Company, including:

- computers and laptops;
- servers and networking equipment;
- office furniture;
- stationery;
- office equipment;
- security equipment; and
- other consumable or non-consumable items.

## **5.2 Services**

Services obtained from external parties, including:

- consultancy;
- legal services;
- professional advisory;
- IT services;
- cybersecurity;
- software support;
- facility management;
- security;
- recruitment;
- training;
- marketing;
- research;
- maintenance;
- outsourced functions; and

- other professional or operational services.

### 5.3 Critical Services

Services shall be classified as **Critical Services** where failure, disruption, compromise, or poor performance could materially affect:

- client or investor interests;
- investment management activities;
- fund operations;
- regulatory compliance;
- financial reporting;
- cybersecurity;
- business continuity;
- confidentiality of material information; or
- the Company's ability to conduct its core operations.

Critical services shall be subject to enhanced due diligence and monitoring.

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## 6. ANNUAL PROCUREMENT PLANNING

Each department shall identify its expected procurement requirements as part of the annual budgeting process.

The procurement plan should, where practicable, identify:

- description of goods/services;
- estimated cost;
- expected procurement date;
- budget allocation;
- procurement method;
- expected vendor requirements;
- contract duration;
- whether the procurement is critical or non-critical;
- responsible department; and
- approval authority.

Unplanned procurement may be undertaken where necessary, subject to appropriate justification and approval.

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## 7. PROCUREMENT PROCESS

The standard procurement process shall generally consist of:

1. identification of requirement;
  2. preparation of purchase requisition;
  3. budget confirmation;
  4. determination of procurement method;
  5. vendor sourcing;
  6. quotation/proposal solicitation;
  7. technical and commercial evaluation;
  8. due diligence;
  9. approval;
  10. purchase order/contract;
  11. receipt and verification;
  12. invoice verification;
  13. payment; and
  14. vendor performance evaluation.
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## 8. PURCHASE REQUISITION

All procurement shall originate from a duly authorized **Purchase Requisition (PR)** or equivalent documented request.

The requisition shall specify, where applicable:

- description of requirement;
- quantity;
- specifications;
- business justification;
- estimated cost;
- required delivery date;
- budget code;
- proposed procurement method;
- requesting department; and
- relevant approvals.

No employee shall commit the Company to expenditure without appropriate authority.

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## 9. PROCUREMENT THRESHOLDS

Unless otherwise approved by the Board or revised through the Delegation of Authority, procurement shall generally follow the following thresholds:

| Procurement Value                           | Minimum Requirement                                             | Approval                                                |
|---------------------------------------------|-----------------------------------------------------------------|---------------------------------------------------------|
| Up to PKR 50,000                            | Reasonable price verification / one quotation where practicable | Department Head                                         |
| PKR 50,001 – 250,000                        | Minimum two quotations                                          | Department Head + CFO/authorized officer                |
| PKR 250,001 – 1,000,000                     | Minimum three competitive quotations                            | Relevant Committee/Management as per DOA                |
| Above PKR 1,000,000                         | Formal competitive procurement / written proposals              | CEO/CFO/competent authority                             |
| Material / Strategic / Critical Procurement | Formal evaluation and enhanced due diligence                    | Competent authority / Board or Committee where required |

The above thresholds may be amended by the Board or under the Company's approved Delegation of Authority.

Where competition cannot reasonably be obtained, the procurement shall be supported by a written **single-source/sole-source justification**.

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## 10. COMPETITIVE PROCUREMENT

For procurement requiring competitive quotations or proposals:

1. specifications shall be clearly defined;
2. vendors shall be provided with substantially similar requirements;
3. quotations shall be obtained independently;
4. quotations shall be properly documented;
5. evaluation shall be based on predetermined criteria;
6. commercial and technical considerations shall be evaluated objectively; and
7. the selected vendor shall be approved by the appropriate authority.

Employees shall not disclose one vendor's quotation to another vendor for the purpose of obtaining a lower bid.

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# 11. SINGLE-SOURCE PROCUREMENT

Single-source procurement may be permitted where:

- only one supplier has the required capability;
- the goods/services are proprietary;
- compatibility with existing systems requires a specific supplier;
- continuation of an existing service is operationally necessary;
- an emergency exists;
- a vendor possesses unique expertise;
- regulatory or legal considerations require a specific provider; or
- obtaining multiple quotations is impractical and not cost-effective.

A written justification shall be prepared and approved by the appropriate authority.

Repeated use of single-source procurement shall be reviewed by Finance/Management to ensure that the exception is not being used to circumvent competitive procurement requirements.

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# 12. VENDOR REGISTRATION AND DUE DILIGENCE

Before onboarding a significant or recurring vendor, the Company shall obtain appropriate information, which may include:

- legal name;
- registered address;
- registration/incorporation documents;
- tax registration;
- ownership information;
- beneficial ownership information, where appropriate;
- bank details;
- contact details;
- business references;
- relevant licenses;
- qualifications and certifications;
- financial standing, where appropriate;
- conflict-of-interest declaration;
- sanctions or regulatory screening, where appropriate;
- information security assessment for relevant vendors; and
- relevant litigation or adverse information.

The level of due diligence shall be proportionate to the risk and value of the engagement.

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## 13. VENDOR SELECTION CRITERIA

Vendor selection may consider:

- price;
- technical capability;
- quality;
- experience;
- financial stability;
- delivery capability;
- service levels;
- reputation;
- regulatory compliance;
- information security;
- business continuity;
- data protection;
- references;
- warranty;
- after-sales support; and
- overall value for money.

For critical or outsourced services, additional risk assessment shall be undertaken.

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## 14. CONFLICT OF INTEREST

All employees and Directors involved in procurement shall disclose any actual, potential, or perceived conflict of interest.

A conflict may arise where an employee or Director:

- has a financial interest in a vendor;
- has a family or close personal relationship with a vendor;
- receives gifts or benefits from a vendor;
- has an outside business relationship with a vendor;
- has previously worked for the vendor where the relationship may affect objectivity; or
- could personally benefit from the procurement decision.

An employee with a material conflict shall not participate in the procurement decision unless expressly authorized under the Company's conflict management framework.

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## 15. RELATED-PARTY PROCUREMENT

Procurement involving a related party shall be subject to enhanced scrutiny and approval.

Related-party procurement shall:

- be identified and disclosed;
- be conducted on arm's-length principles where required;
- be supported by appropriate benchmarking or independent assessment where appropriate;
- receive all required approvals;
- comply with applicable Companies Act and SECP requirements; and
- be appropriately recorded and disclosed.

No employee shall structure a procurement arrangement to avoid related-party requirements.

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## 16. PROCUREMENT AND INVESTMENT-RELATED CONFLICTS

As an Asset Management Company, the Company shall take additional precautions where a vendor:

- is an investee company;
- is a broker/dealer;
- is a financial institution;
- provides investment research;
- provides investment advisory services;
- provides valuation or pricing services;
- is connected to a fund managed by the Company;
- is connected to a Director, sponsor, trustee, investment adviser, or other relevant stakeholder; or
- may have access to material non-public investment information.

Procurement decisions shall not be influenced by investment relationships, trading relationships, personal benefits, or other commercial interests.

The relevant employee shall disclose any such conflict before participating in the procurement decision.

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## **17. CONFIDENTIALITY AND INFORMATION SECURITY**

Where vendors require access to Company information, systems, records, or premises, appropriate controls shall be implemented.

Depending on the nature of the engagement, the contract should include:

- confidentiality obligations;
- information security requirements;
- data protection requirements;
- access controls;
- restrictions on subcontracting;
- incident reporting requirements;
- business continuity obligations;
- data return/deletion requirements;
- audit rights; and
- termination provisions.

Vendor access shall be limited to information and systems necessary to perform the contracted services.

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## **18. OUTSOURCING AND CRITICAL THIRD-PARTY SERVICES**

Where procurement involves outsourcing of material or critical functions, the Company shall comply with applicable SECP requirements relating to outsourcing.

Before engaging such a service provider, the Company shall assess:

- operational risk;
- financial risk;
- legal and regulatory risk;
- cybersecurity risk;
- data confidentiality;
- business continuity;
- service capability;
- reputation;
- dependency/concentration risk;
- disaster recovery capability; and

- exit arrangements.

Critical outsourcing arrangements shall be subject to enhanced contractual and monitoring requirements.

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## 19. PURCHASE ORDER

Except where specifically exempted, an approved Purchase Order (PO) shall be issued before goods or services are ordered.

The PO should include:

- supplier name;
- description;
- quantity;
- agreed price;
- applicable taxes;
- delivery terms;
- payment terms;
- delivery date;
- warranty, where applicable;
- applicable terms and conditions; and
- authorization.

Employees shall not split a procurement into multiple purchase orders to avoid approval thresholds.

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## 20. CONTRACTS

A written contract shall be used for material, recurring, complex, or high-risk services.

Contracts should clearly specify:

- scope of services;
- deliverables;
- fees;
- payment terms;
- service levels;
- responsibilities;
- confidentiality;

- intellectual property;
- information security;
- regulatory compliance;
- audit rights;
- indemnities where appropriate;
- insurance requirements where appropriate;
- termination rights;
- dispute resolution;
- business continuity;
- subcontracting restrictions; and
- applicable law.

Legal review shall be obtained for material or high-risk contracts where appropriate.

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## **21. RECEIPT AND ACCEPTANCE OF GOODS/SERVICES**

Goods and services shall be verified by the requesting/receiving department.

For goods, verification should include:

- quantity;
- specifications;
- quality;
- condition;
- delivery documentation; and
- conformity with the purchase order.

For services, verification should include:

- completion of agreed deliverables;
  - service quality;
  - applicable service levels;
  - contractual compliance; and
  - confirmation by the responsible department.
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## **22. THREE-WAY MATCHING**

Where applicable, payment shall be supported by matching:

1. Purchase Order;
2. Goods Receipt Note/Service Completion Confirmation; and
3. Supplier Invoice.

Any discrepancy shall be resolved before payment.

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## **23. PAYMENT**

Payments shall only be made following:

- valid procurement approval;
- satisfactory receipt of goods/services;
- verification of invoice;
- confirmation of contractual terms;
- appropriate tax treatment; and
- authorization under the Delegation of Authority.

Advance payments should be minimized and shall require appropriate approval and contractual protection.

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## **24. EMERGENCY PROCUREMENT**

Emergency procurement may be undertaken where delay could:

- materially disrupt business operations;
- compromise information security;
- cause significant financial loss;
- affect regulatory compliance;
- endanger employees or Company property; or
- adversely affect clients/investors.

Emergency procurement shall be appropriately documented and approved as soon as practicable.

Emergency procedures shall not be used merely for convenience or poor planning.

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## **25. GIFTS, ENTERTAINMENT AND INDUCEMENTS**

Employees involved in procurement shall not solicit or accept:

- cash;
- commissions;
- kickbacks;
- personal discounts;
- loans;
- excessive entertainment;
- gifts intended to influence a procurement decision; or
- any other improper benefit.

Any suspected kickback, bribery, or improper inducement shall be reported immediately in accordance with the Company's relevant policies.

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## **26. VENDOR PERFORMANCE MANAGEMENT**

Material and recurring vendors shall be periodically evaluated.

Performance evaluation may include:

- quality;
- timeliness;
- service levels;
- responsiveness;
- pricing;
- compliance;
- information security;
- incident history;
- customer service; and
- contractual performance.

Poor-performing vendors may be subject to:

- corrective action;
- enhanced monitoring;
- suspension;

- removal from the approved vendor list; or
  - termination of contract.
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## 27. APPROVED VENDOR LIST

The Company may maintain an **Approved Vendor List (AVL)**.

The AVL shall contain vendors that have completed the applicable registration and due diligence requirements.

Inclusion on the AVL does not guarantee future business.

The Company may procure from a vendor outside the AVL where justified and appropriately approved.

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## 28. RECORD RETENTION

All procurement records shall be maintained in accordance with the Company's record retention requirements and applicable law.

Records should include, as applicable:

- purchase requisitions;
- quotations;
- tender/proposal documents;
- evaluation sheets;
- approvals;
- conflict declarations;
- due diligence documents;
- purchase orders;
- contracts;
- delivery records;
- invoices;
- payment evidence;
- vendor evaluations; and
- correspondence relevant to the procurement decision.

Records shall be maintained in a manner that allows internal and external review.

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## 29. SEGREGATION OF DUTIES

As far as practicable, the following functions shall be separated:

| Function                  | Responsible Party                 |
|---------------------------|-----------------------------------|
| Requesting goods/services | User Department                   |
| Budget confirmation       | Finance                           |
| Vendor sourcing           | Procurement/Admin/User Department |
| Technical evaluation      | User Department                   |
| Commercial evaluation     | Finance/Procurement               |
| Approval                  | Authorized Approver               |
| Purchase Order            | Procurement/Admin                 |
| Receipt confirmation      | User/Receiving Department         |
| Invoice verification      | Finance                           |
| Payment authorization     | Authorized Finance/Management     |
| Vendor performance review | User Department/Management        |

Where the Company's size does not permit complete segregation, compensating controls shall be implemented.

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## 30. DELEGATION OF AUTHORITY

Procurement approvals shall comply with the Company's approved **Delegation of Authority (DOA)**.

No employee shall:

- approve his/her own purchase;
  - approve expenditure beyond delegated authority;
  - divide a purchase to avoid an approval threshold; or
  - commit the Company without appropriate authorization.
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## 31. BUDGET CONTROL

Procurement shall ordinarily be made within approved departmental and Company budgets.

Finance shall monitor procurement expenditure against approved budgets.

Any material budget variance shall require appropriate approval and documentation.

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## **32. TAX AND ACCOUNTING COMPLIANCE**

The Finance Department shall ensure that procurement transactions are appropriately accounted for and that applicable taxes are:

- correctly determined;
- deducted where required;
- deposited with the relevant authorities; and
- appropriately documented.

Invoices shall meet applicable tax and accounting requirements.

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## **33. FRAUD PREVENTION AND DETECTION**

The Company shall maintain controls to prevent and detect procurement fraud, including:

- fictitious vendors;
- duplicate invoices;
- inflated prices;
- false quotations;
- bid manipulation;
- split purchases;
- unauthorized purchases;
- kickbacks;
- conflicts of interest;
- false receipt of goods/services; and
- unauthorized changes to vendor bank details.

Any suspected procurement fraud shall be reported in accordance with the Company's Whistleblowing/Fraud Risk Management framework.

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## **34. VENDOR BANK ACCOUNT CHANGES**

Changes to vendor bank account information shall be subject to independent verification.

No employee shall process a bank-account change solely on the basis of an email request without appropriate verification.

For material vendors, independent confirmation should be obtained through established contact details already held by the Company.

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## **35. INTERNAL AUDIT**

Internal Audit, or an appropriately designated independent assurance function, may periodically review procurement activities to assess:

- compliance with this Policy;
- adequacy of controls;
- procurement efficiency;
- vendor selection;
- conflicts of interest;
- related-party transactions;
- documentation;
- budget compliance; and
- fraud risk.

Management shall address identified control weaknesses within agreed timelines.

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## **36. COMPLIANCE MONITORING**

The CFO/Finance Department, Company Secretary, Compliance, Risk Management, or other designated functions may monitor compliance with this Policy based on their respective responsibilities.

Material breaches shall be reported to appropriate senior management and/or the Board or relevant Board Committee where required.

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## **37. BREACHES OF POLICY**

Failure to comply with this Policy may result in:

- withdrawal of procurement authority;
- disciplinary action;
- recovery of financial loss;
- suspension or termination of vendor relationships;
- reporting to management or the Board;
- regulatory reporting where required; and/or
- legal action.

The severity of action shall depend on the nature and materiality of the breach.

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## **38. EXCEPTIONS**

Any exception to this Policy shall:

1. be supported by written justification;
2. identify the reason for the exception;
3. identify the risks associated with the exception;
4. identify mitigating controls;
5. be approved by the appropriate authority; and
6. be documented for audit purposes.

No exception may override a mandatory legal or regulatory requirement.

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## **39. RESPONSIBILITIES**

### **39.1 Board of Directors**

The Board shall:

- approve the Policy;
- provide oversight of procurement governance;
- approve material procurement matters where required;
- oversee significant related-party or conflict-sensitive transactions; and
- ensure appropriate governance and accountability.

## **39.2 Chief Executive Officer**

The CEO shall:

- ensure implementation of the Policy;
- ensure appropriate resources and controls;
- approve procurement within delegated authority; and
- escalate material procurement matters to the Board where required.

## **39.3 Chief Financial Officer**

The CFO shall:

- oversee financial controls over procurement;
- ensure budgetary control;
- review significant procurement;
- ensure appropriate accounting and taxation;
- monitor procurement expenditure; and
- maintain appropriate financial records.

## **39.4 Company Secretary**

The Company Secretary shall:

- advise on corporate approval requirements;
- maintain Board/Committee approvals where applicable;
- support compliance with corporate governance requirements; and
- coordinate regulatory disclosures where required.

## **39.5 Compliance/Risk Function**

Where applicable, Compliance/Risk shall:

- advise on regulatory and operational risks;
- assess conflict-of-interest concerns;
- review critical third-party arrangements;
- conduct appropriate due diligence; and
- monitor compliance with relevant regulatory requirements.

## **39.6 Department Heads**

Department Heads shall:

- identify genuine business requirements;

- prepare purchase requisitions;
- evaluate technical suitability;
- confirm receipt and quality;
- manage vendor performance; and
- ensure expenditure is within approved budgets.

## 39.7 Employees

All employees shall:

- comply with this Policy;
- act honestly and objectively;
- disclose conflicts of interest;
- protect Company information;
- avoid improper benefits; and
- report suspected fraud or misconduct.

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## 40. REVIEW AND AMENDMENT

This Policy shall be reviewed at least annually and whenever there is a material change in:

- applicable laws or regulations;
- SECP requirements;
- the Company's business model;
- the Company's organizational structure;
- outsourcing arrangements;
- procurement risks; or
- internal control requirements.

Any material amendment shall require approval of the Board or the authority designated by the Board.

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## 41. EFFECTIVE DATE

This Policy shall become effective from the date approved by the Board of Directors of **786 Investments Limited** and shall remain effective until amended, replaced, or withdrawn.

Approval Date: April 09, 2026

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# ANNEXURE A

## PROCUREMENT APPROVAL MATRIX

The following matrix shall apply unless superseded by the Company's approved Delegation of Authority:

| Amount                | Procurement Requirement                  | Approval                                   |
|-----------------------|------------------------------------------|--------------------------------------------|
| Up to PKR 50,000      | Price verification                       | Department Head                            |
| PKR 50,001–250,000    | At least 2 quotations                    | Department Head + CFO/Authorized Officer   |
| PKR 250,001–1,000,000 | At least 3 quotations                    | CEO/CFO/Authorized Committee               |
| Above PKR 1,000,000   | Competitive procurement/formal proposals | CEO/CFO/Board/Committee as per DOA         |
| Critical/High-Risk    | Enhanced due diligence                   | CEO/Board/Relevant Committee as applicable |

**Note:** The approval limits may be amended from time to time by the Board under the Company's Delegation of Authority.

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# ANNEXURE B

## PROCUREMENT EVALUATION CRITERIA

Depending on the nature of procurement, the following indicative weighting may be used:

| Evaluation Criterion                     | Indicative Weight |
|------------------------------------------|-------------------|
| Price/Commercial Terms                   | 30%               |
| Technical Capability                     | 25%               |
| Experience & Track Record                | 15%               |
| Service Quality/SLA                      | 10%               |
| Financial Strength                       | 5%                |
| Information Security/Business Continuity | 5%                |
| Delivery Capability                      | 5%                |
| Regulatory/Compliance Standing           | 5%                |
| <b>Total</b>                             | <b>100%</b>       |

The evaluation criteria and weightings should be established before reviewing quotations/proposals for significant procurements.

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## ANNEXURE C

### SINGLE-SOURCE PROCUREMENT JUSTIFICATION

Department: \_\_\_\_\_

Requirement: \_\_\_\_\_

Estimated Value: \_\_\_\_\_

Proposed Vendor: \_\_\_\_\_

Reason for Single-Source Procurement:

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Alternatives Considered:

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Commercial Reasonableness / Benchmarking:

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Conflict of Interest Declaration Completed: Yes / No

Risk Assessment Completed: Yes / No / N/A

Recommended By: \_\_\_\_\_

Approved By: \_\_\_\_\_

Date: \_\_\_\_\_

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## ANNEXURE D

# VENDOR DUE DILIGENCE CHECKLIST

| Requirement                              | Completed                | Remarks |
|------------------------------------------|--------------------------|---------|
| Legal/Company Registration               | <input type="checkbox"/> |         |
| Tax Registration                         | <input type="checkbox"/> |         |
| Ownership Details                        | <input type="checkbox"/> |         |
| Beneficial Ownership                     | <input type="checkbox"/> |         |
| Bank Verification                        | <input type="checkbox"/> |         |
| Business References                      | <input type="checkbox"/> |         |
| Conflict of Interest Declaration         | <input type="checkbox"/> |         |
| Sanctions/Regulatory Screening           | <input type="checkbox"/> |         |
| Financial Standing                       | <input type="checkbox"/> |         |
| Relevant Licenses                        | <input type="checkbox"/> |         |
| Information Security Assessment          | <input type="checkbox"/> |         |
| Business Continuity Assessment           | <input type="checkbox"/> |         |
| Contract/Legal Review                    | <input type="checkbox"/> |         |
| Data Confidentiality Requirements        | <input type="checkbox"/> |         |
| Outsourcing Assessment, where applicable | <input type="checkbox"/> |         |

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## ANNEXURE E

### BOARD APPROVAL

**Certified that the Procurement of Goods and Services Policy was approved by the Board of Directors of 786 Investments Limited through Resolution No. \_\_\_\_\_ dated**

\_\_\_\_\_.

**Chairperson:** \_\_\_\_\_

**Chief Executive Officer:** \_\_\_\_\_

**Company Secretary:** \_\_\_\_\_

**Date:** \_\_\_\_\_